



BANK DEPOSITORY USER GROUP

2017 Annual Meeting Agenda
 Hyatt Regency – Clearwater Beach, FL
 September 24 – 27, 2017

Sunday, September 24		
4:00 p.m. – 6:00p.m.	2017 Annual Meeting Registration & Opening Reception <i>Sponsor: BDUG</i> *Lite Hors d’oeuvres and cocktails	Beach Club/Belleair
Monday, September 25		
8:00 a.m. – 9:00 a.m.	Breakfast with Exhibitors – Sponsor: Broadridge	Aqualea Ballroom C
9:00 a.m. – 9:10 a.m.	General Session – BDUG Steering Committee Introduction	Aqualea Ballroom A/B
9:10 a.m. – 10:00 a.m.	General Session - Keynote - DTCC Dan Thieke, Managing Director ✓ An update on the DTCC’s strategic plans for supporting the Global Securities Market through the introduction of new products/services to enhance efficiency and mitigate risk	Aqualea Ballroom A/B
10:00 a.m. - 10:15 a.m.	Exhibitor Presentation: Broadridge	Aqualea Ballroom A/B
10:15 a.m. – 10:45 a.m.	Visit / Refreshments with Exhibitors	Aqualea Ballroom Foyer
10:45 a.m. - 11:10 p.m.	Global Custodian Direct Update - DTCC Koushik Chakrabarty, Director ✓ GC Direct allows Custodians to maintain SSIs on behalf of Investment Managers and other buy side clients. Presenter will provide an update on the drivers and benefits for implementing this workflow; additionally, an update on the status and next steps	Aqualea Ballroom A/B
11:10 p.m. – 12:00 p.m.	Transforming Institutional Trade Processing (TSS Solution) – DTCC Marisol Collazo, Executive Director ✓ An overview of DTCC plans to leverage all its assets to deliver an interconnected set of services for global securities processing that will (1) Streamline Middle Office processing and (2) Provide cost efficiency and risk mitigation ○ TSM, M2I	Aqualea Ballroom A/B



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12:00 p.m. – 1:00 p.m.	Lunch - BDUG	Aqualea Ballroom C
1:00 p.m. – 1:50 p.m.	Federal Reserve - Modernization and Automated Claim Adjustment Process – Chris Burke <ul style="list-style-type: none">✓ Discussion of Fedwire Securities volume drivers, strategies for improving the Fedwire Securities product, Fedwire Resiliency program, and Debt Ceiling Planning	Aqualea Ballroom A/B
1:50 p.m. – 2:05 p.m.	Exhibitor Presentation: CUSIP	Aqualea Ballroom A/B
2:05 p.m. – 2:35 p.m.	Visit / Refreshments with Exhibitors	Aqualea Ballroom Foyer
2:35 p.m. – 3:25 p.m.	Post Money Market Reform Landscape Panelist: <ul style="list-style-type: none">▪ Tim Batluck (Vanguard)▪ Bud Person (Federated)▪ Pete Bergman (UMB Bank) <ul style="list-style-type: none">✓ Overview of the Money Market Reform initiative, comments from fund company experts, and BDUG membership Q&A	Aqualea Ballroom A/B
3:25 – 4:15 p.m.	Resolution & Recovery – DTCC Stephen Pecchia, Managing Director <ul style="list-style-type: none">✓ An update on the Regulatory requirements DTCC must adhere to regarding R&R. presentation on the tools and process used by DTCC in support of wind-down event, and the impact to Members	Aqualea Ballroom A/B
5:30 p.m. - 6:30 p.m. 6:30 p.m. – 8:30 p.m.	Cocktails BDUG Dinner	Beach Club / Belleair



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Tuesday, September 26		
8:00 a.m. - 9:00 a.m.	Breakfast – Sponsor BDUG	Aqualea Ballroom C
9:00 a.m. - 9:50 a.m.	General Session – DTCC Settlement Optimization – DTCC John Abel, Executive Director <ul style="list-style-type: none"> ✓ An update on DTCC proposal for reengineering its core settlement services to: <ul style="list-style-type: none"> ○ Improve processing efficiency ○ Promote settlement finality ○ Reduce NSCC margin requirements ○ Provide members with liquidity enhancements ○ Reduce Operational risk 	Aqualea Ballroom A/B
9:50 a.m. - 10:40 a.m.	General Session – FinTech – DTCC Gordon Sands, Executive Director IT Architecture and Office of FinTech Strategy <ul style="list-style-type: none"> ✓ An update on DTCC FinTech Strategic plans including Cloud Services and Robotic Process Automation 	Aqualea Ballroom A/B
10:40 a.m. - 10:55 a.m.	Visit / Refreshments with Exhibitors	Aqualea Ballroom Foyer
10:55 a.m. - 11:45 p.m.	Office of the Comptroller Of The Currency (OCC) Update – Patricia Dalton, Risk Specialist <ul style="list-style-type: none"> ✓ An update from the OCC on Regulatory changes impacting the Banking Industry with focus a focus on Custodian Banks 	Aqualea Ballroom A/B
11:45 a.m. – 12:00 p.m.	Exhibitor Presentation: Globe Tax	Aqualea Ballroom A/B
12:00 p.m. - 1:00 p.m.	Lunch - BDUG	Aqualea Ballroom C



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1:00 p.m. – 1:50 p.m.	Post T2 Panel Discussion Panelist: <ul style="list-style-type: none">▪ Matt Leach (Moderator) - Wells Fargo▪ John Abel - DTCC▪ Vendor Representative ✓ A post review on the implementation of T+2 Settlement in the US. A panel discussion with key stakeholders on lessons learned, and what's next	Aqualea Ballroom A/B
1:50 p.m. – 2:40 p.m.	Global Regulatory Reform Panel Panelist: <ul style="list-style-type: none">▪ Mike Reardon (Moderator) - SSB▪ Bradley Koehler - Euroclear▪ Michele Pitts – Citibank ✓ A review of Regulatory changes and the impact on the Banking community	Aqualea Ballroom A/B
2:40 p.m. – 2:55 p.m.	Exhibitor Presentation: Goal Group	Aqualea Ballroom A/B
2:55 p.m. – 3:25 p.m.	Visit / Refreshment with Exhibitors	Aqualea Ballroom Foyer
3:25 p.m. – 4:15 p.m.	General Session: Roundtable Discussion - Breakout in groups <u>Topics:</u> <ol style="list-style-type: none">1. Robotics & Process Automation (AI)2. Managing Generations within the workplace3. Complex Corp Actions4. Fedwire Securities Operating Hours	Aqualea Ballroom A/B
5:00 p.m. – 6:00 p.m.	Exhibitor Raffle	Aqualea Ballroom Foyer



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Wednesday, September 27		
8:00 a.m. – 9:00 a.m.	Breakfast with DTCC Staff	Aqualea Ballroom C
9:00 a.m. – :9:50 a.m.	BDUG Town Hall	Aqualea Ballroom A/B
9:50 – 10:40 am.	DTCC Asset Services Update – DTCC Chris Scotto, Director ✓ A review and update on DTCC Asset Services with highlights on the functionality of the new tools developed with the phased-in implementation of DTCC Corp. Action Transformation product. Additionally, the presenter will cover Asset Servicing proposals and initiatives currently underway at DTCC	Aqualea Ballroom A/B
10:40 a.m. – 11:00 a.m.	Conference Recap, Door Prizes & Adjournment	Aqualea Ballroom A/B