



**BANK DEPOSITORY USER GROUP**

**2018 Annual Meeting Agenda  
Hyatt Regency – Clearwater Beach, FL  
September 23 – 26, 2018**

<b>Sunday, September 23</b>		
<b>4:00 p.m. – 6:00p.m.</b>	2018 Annual Meeting Registration & Opening Reception <i>Sponsor: BDUG</i> *Lite Hors d'oeuvres and cocktails	Beach Club/Belleair
<b>Monday, September 24</b>		
<b>8:00 a.m. – 9:00 a.m.</b>	<b>Breakfast with Exhibitors – Sponsor: Broadridge</b>	Belleair
<b>9:00 a.m. – 9:10 a.m.</b>	<b>General Session – BDUG Steering Committee Introduction</b>	Aqualea Grand Ballroom
<b>9:10 a.m. – 10:00 a.m.</b>	<b>General Session - Keynote - Marie Chinnici-Everitt, MD, Chief Marketing Officer &amp; Regional Administrative Manager, DTCC Tampa.</b>	Aqualea Grand Ballroom
<b>10:00 a.m. - 10:15 a.m.</b>	<b>Exhibitor Presentation: Broadridge - Kevin Trnka, Account Management</b>	Aqualea Grand Ballroom
<b>10:15 a.m. – 10:45 a.m.</b>	<b>Visit / Refreshments with Exhibitors – Morgan Stanley Investment Management</b>	Aqualea Ballroom Foyer
<b>10:45 a.m. - 11:10 a.m.</b>	<b>Institutional Trade Processing Strategy Update – DTCC Ana Lotharius, Director, Global Product Management &amp; Solutions</b> <ul style="list-style-type: none"> <li>✓ An update on the long-term ITP vision</li> <li>✓ Review of benefits and clients impact</li> </ul>	Aqualea Grand Ballroom
<b>11:10 p.m. – 12:00 p.m.</b>	<b>Institutional Trade Processing Product/Service Update - DTCC Ana Lotharius, Director, Global Product Management &amp; Solutions</b> <ul style="list-style-type: none"> <li>✓ Update on key development and roll out of DTCC products to facilitate post-trade process management and reconciliation <ul style="list-style-type: none"> <li>➤ GCD (Global Custodian Direct)</li> <li>➤ M2i Concept (Match-2-Instruct)</li> <li>➤ DXM (Exception Manager)</li> </ul> </li> </ul>	Aqualea Grand Ballroom
<b>12:00 p.m. – 1:00 p.m.</b>	<b>Lunch - BDUG</b>	Belleair



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<p><b>1:00 p.m. – 1:50 p.m.</b></p>	<p><b>Federal Reserve Update – Chris Burke, SVP, Federal Reserve</b></p> <ul style="list-style-type: none"> <li>✓ Fedwire Securities volume update, including impact of JPMC exit from the Broker Dealer Services business</li> <li>✓ Service Packs we’ve done so far, and a request for input for future updates</li> <li>✓ Automated Claims Adjustment Process (ACAP) update</li> <li>✓ Expanded operating hours update</li> <li>✓ Potential matching service</li> <li>✓ Protracted Outage discussion</li> <li>✓ Single Security / CSS update</li> <li>✓ Delayed Payments update</li> </ul>	<p>Aqualea Grand Ballroom</p>
<p><b>1:50 p.m. – 2:05 p.m.</b></p>	<p><b>Exhibitor Presentation: CUSIP Global Services - Brian Sweeney, Product Management &amp; Development Division</b></p>	<p>Aqualea Grand Ballroom</p>
<p><b>2:05 p.m. – 2:35 p.m.</b></p>	<p><b>Visit / Refreshments with Exhibitors</b></p>	<p>Aqualea Ballroom Foyer</p>
<p><b>2:35 p.m. – 3:25 p.m.</b></p>	<p><b>General Session – FinTech – DTCC Gordie Sands, Executive Director, IT Architecture</b></p> <ul style="list-style-type: none"> <li>✓ An update on DTCC FinTech Strategic plans including Cloud Services and Robotic Process Automation</li> </ul>	<p>Aqualea Grand Ballroom</p>
<p><b>3:25 – 4:30 p.m.</b></p>	<p><b>General Session: Roundtable Discussion - Breakout in groups</b></p> <p><u>Topics:</u></p> <ol style="list-style-type: none"> <li>1. Corporate Actions Challenges and Best Practices</li> <li>2. Efficiencies and Risks associated with Straight Through Cash Processing in Custody</li> <li>3. Securities Master setup and Best Practices</li> <li>4. Networking, Social Media Best Practices</li> </ol>	<p>Aqualea Grand Ballroom</p>
<p><b>5:30 p.m. - 6:30 p.m.</b></p> <p><b>6:30 p.m. – 8:30 p.m.</b></p>	<p><b>Cocktails</b></p> <p><b>BDUG Dinner</b></p>	<p>Belleair</p>



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Tuesday, September 25		
8:00 a.m. - 9:00 a.m.	<b>Breakfast – Sponsor BDUG</b>	Belleair
9:00 a.m. - 9:50 a.m.	<b>General Session – DTCC Settlement Optimization – DTCC Jack Manuel, Director</b> ✓ An update on DTCC proposal for reengineering its core settlement services to: <ul style="list-style-type: none"> <li>○ Improve processing efficiency</li> <li>○ Promote settlement finality</li> <li>○ Reduce NSCC margin requirements</li> <li>○ Provide members with liquidity enhancements</li> <li>○ Reduce Operational risk</li> </ul>	Aqualea Grand Ballroom
9:50 a.m. - 10:40 a.m.	<b>DTCC Operations Update (Panel)</b> Moderator: <b>DTCC Chris Scott, Director</b> DTCC Panelist: <ul style="list-style-type: none"> <li>▪ <b>Tiffany Archbell, Manager</b></li> <li>▪ <b>Marcelo Mejia, Director</b></li> <li>▪ <b>Patrick Spellman, Director</b></li> </ul> ✓ Review of DTCC Operations focusing on what happens at DTCC from Announcement to Allocation, and the impact to clients; Customer Experience, and Focus	Aqualea Grand Ballroom
10:40 a.m. - 10:55 a.m.	<b>Visit / Refreshments with Exhibitors</b>	Aqualea Ballroom Foyer
10:55 a.m. - 11:45 p.m.	<b>Office of the Comptroller Of The Currency (OCC) Update – Patricia Dalton, Technical Expert</b> ✓ OCC Current Issue Update – An overview of OCC policy and regulatory initiatives affecting bank asset management activities.	Aqualea Grand Ballroom
11:45 a.m. – 12:00 p.m.	<b>Exhibitor Presentation: Globe Tax – Jonathan Sturman, Managing Director of Sales</b>	Aqualea Grand Ballroom
12:00 p.m. - 1:00 p.m.	<b>Lunch - BDUG</b>	Belleair



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1:00 p.m. – 1:50 p.m.	<b>Wealth Management Services Update – DTCC Nicholas Deliso, Director</b> ✓ Update on DTCC WMS product offerings and development plans for the Mutual Funds business at DTCC	Aqualea Grand Ballroom
1:50 p.m. – 2:40 p.m.	<b>Global Regulatory Reform Panel</b> <b>Panelist:</b> <b>Broadridge - Elizabeth Maiellano, VP Product Management</b> ✓ Shareholder Rights Directive <b>Euroclear - Brad Koehler, Sales &amp; Relationship Manager</b> ✓ General Data Protection Regulation (GDPR) ✓ Brexit 1 year out	Aqualea Grand Ballroom
2:40 p.m. – 2:55 p.m.	<b>Exhibitor Presentation: Goal Group – Bill Salva, Vice President, DR Tax Services</b>	Aqualea Grand Ballroom
2:55 p.m. – 3:25 p.m.	<b>Visit / Refreshment with Exhibitors</b>	Aqualea Ballroom Foyer
3:25 p.m. – 4:15 p.m.	<b>Margin Transit Utility Update – DTCC Amy Caruso, Director, Business Management</b> ✓ Review of DTCC’s solution for managing the operational challenges of increase in margin calls across different jurisdictions and geographies. A comprehensive, STP solution for streamlining the processing and settlement of collateral	Aqualea Grand Ballroom
5:00 p.m. – 6:00 p.m.	<b>Exhibitor Raffle</b>	Aqualea Ballroom Foyer
<b>Wednesday, September 26</b>		
8:00 a.m. – 9:00 a.m.	<b>Breakfast with DTCC RM Staff from the Tampa Office</b>	Belleair
9:00 a.m. – :9:50 a.m.	<b>BDUG Town Hall</b>	Aqualea Grand Ballroom
9:50 – 10:40 am.	<b>DTCC Asset Services and Settlement Product Update – DTCC Chris Scotto, Director</b>	Aqualea Grand Ballroom



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	✓ Update on DTC development plans for products/services supporting Asset Services and Settlement Operations	
10:40 a.m. – 11:00 a.m.	<b>Conference Recap, Door Prizes &amp; Adjournment</b>	Aqualea Grand Ballroom