



2019 Annual Meeting Agenda
 Hyatt Regency – Clearwater Beach, FL
 September 22 – 25, 2019

Sunday, September 22		
4:30 p.m. – 6:00 p.m.	2019 Annual Meeting Registration & Opening Reception <i>Sponsor: BDUG</i> *Lite Hors d'oeuvres and cocktails	Beach Club/Belleair
Monday, September 23		
8:00 a.m. – 9:00 a.m.	Breakfast with Exhibitors – Sponsor: Broadridge	Belleair
9:00 a.m. – 9:10 a.m.	BDUG Steering Committee Introduction	Aqualea Grand Ballroom
9:10 a.m. – 10:00 a.m.	General Session - Keynote – DTCC Ann Marie Bria, Executive Director, Asset Services	Aqualea Grand Ballroom
10:00 a.m. – 10:15 a.m.	Exhibitor Presentation: Broadridge, Joe Calderone, Account Manager	Aqualea Grand Ballroom
10:15 a.m. – 10:45 a.m.	Visit / Refreshments with Exhibitors	Aqualea Ballroom Foyer
10:45 a.m. – 11:10 a.m.	General Session – Institutional Trade Processing (ITP) Strategy and Product Update – DTCC Ana Lotharius, Director, Global Product ✓ An update on ITP progress towards adoption of a no-touch workflow and alignment with the custodian community on key supporting initiatives including GC Direct and DTCC Exception Manager (DXM).	Aqualea Grand Ballroom
11:10 a.m. – 12:00 p.m.	DTCC Securities Financing Transaction Clearing Initiative – DTCC John Vinci, Executive Director, Lou Lepore, Director, and Bob Cavallo, Director ✓ An update on DTCC proposal to construct a central clearing model for equity finance trades including lending, borrowing and repo (collectively, SFT) transactions. <ul style="list-style-type: none"> ▪ Centralized clearing of institutional client’s equity SFT transactions ▪ Centralized clearing of equity SFT transactions between full service NSCC Members ▪ Maximized capital efficiency and mitigate systemic risk 	Aqualea Grand Ballroom



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12:00 p.m. – 1:00 p.m.	Lunch - BDUG	Belleair
1:00 p.m. – 1:50 p.m.	General Session – Settlement Update– DTCC Jack Manuel, Director Product Management <ul style="list-style-type: none"> ✓ An update on DTCC Settlement Services and highlighting key industry initiatives impacting this business ✓ Impact of DTC Night Cycle Settlement schedule changes ✓ Future development within the DTC Settlement Services 	Aqualea Grand Ballroom
1:50 p.m. – 2:05 p.m.	Exhibitor Presentation: Globe Tax, Christian Graham, Director Relationship Management	Aqualea Grand Ballroom
2:05 p.m. – 2:35 p.m.	Visit / Refreshments with Exhibitors	Aqualea Ballroom Foyer
2:35 p.m. – 3:25 p.m.	Global Regulatory Reform Panel Panelist: Euroclear - Brad Koehler, Sales & Relationship Manager <ul style="list-style-type: none"> ✓ Brexit Update ✓ Central Securities Depository Regulations (CSDR) Settlement Regime Impact ✓ 4th Anti-Money Laundering Directive (AMLD IV) and KYC obligations Broadridge - Elizabeth Maiellano, VP Product Management <ul style="list-style-type: none"> ✓ Update on Shareholder Rights Directive 	Aqualea Grand Ballroom
3:25 p.m. – 4:15 p.m.	General Session – Freddie Mac Update – Barbara Pak, Vice President, Securitization and Investor Relations <ul style="list-style-type: none"> ✓ Single Security <ul style="list-style-type: none"> • UMBS update/ lessons learn across the industry • Freddie Exchange ✓ New GSE Security Alignment process ✓ Overall new prioritization for Freddie Mac 	Aqualea Grand Ballroom
5:30 p.m. - 6:30 p.m.	Cocktails	Belleair
6:30 p.m. – 8:30 p.m.	BDUG Dinner	



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Tuesday, September 24		
8:00 a.m. - 9:00 a.m.	Breakfast – Sponsor BDUG	Belleair
9:00 a.m. - 9:50 a.m.	<p>General Session – DTCC Asset Services and Operations Update – DTCC Chris Scotto, Director Product Management</p> <p>DTC Operations Panel: “How DTC Advocates for the Industry through Upstream Discussions”</p> <ul style="list-style-type: none"> ✓ Discussion on the complexities in the industry and what DTC does as a matter of daily business to insulate its members and industry with an eye towards driving efficiency ✓ Discuss DTC efforts to expand our product to process more event types for the industry <ul style="list-style-type: none"> • Moderator – Rebecca Hartnett <p>Panelist:</p> <ul style="list-style-type: none"> ➤ Ed Collins ➤ Dwayne Norton ➤ Jessica Smith ➤ Alaina Christov 	Aqualea Grand Ballroom
9:50 a.m. - 10:40 a.m.	<p>General Session – DTCC ClaimConnect Update – Matt Schill, Director Product Management</p> <ul style="list-style-type: none"> ✓ Update on DTCC ClaimConnect created to facilitate the bilateral movement and settlement of cash to satisfy claims between DTC Participants <ul style="list-style-type: none"> • Review ClaimConnect functionality • Client and Industry benefits • Discuss timeline and future enhancements 	Aqualea Grand Ballroom
10:40 a.m. - 10:55 a.m.	Visit / Refreshments with Exhibitors	Aqualea Ballroom Foyer
10:55 a.m. - 11:45 a.m.	<p>Office of the Comptroller of the Currency (OCC) Update – Patricia Dalton, Risk Specialist</p> <ul style="list-style-type: none"> ✓ OCC Priorities ✓ Recent Developments and issuances ✓ Asset Management areas of supervisory focus 	Aqualea Grand Ballroom



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11:45 a.m. – 12:00 p.m.	Exhibitor Presentation: CUSIP Global Services, Michael Suttmiller, Associate Director, Product Management	Aqualea Grand Ballroom
12:00 p.m. - 1:00 p.m.	Lunch - BDUG	Belleair
1:00 p.m. – 1:50 p.m.	General Session – DTCC Client Cybersecurity Confirmation Program – Helene Kramer, Executive Director, Technology Risk Management <ul style="list-style-type: none"> ✓ An update on Cyber Security Risk initiatives at DTCC ✓ Key Drivers and program considerations ✓ Why the CCSP is important to the collective financial community ✓ What does it mean to members and how is it structured 	Aqualea Grand Ballroom
1:50 p.m. – 2:40 p.m.	General Session – Resiliency First: Promoting Financial Stability by Planning for Disruption – DTCC Stephen Pecchia, Managing Director and Head of Recovery and Resolution Planning <ul style="list-style-type: none"> ✓ An update on DTCC’s vision for a holistic approach to enhancing resiliency to promote financial stability ✓ Review a series of principles and guidelines that stakeholders can use to help strengthen resiliency in their own organizations 	Aqualea Grand Ballroom
2:40 p.m. – 2:55 p.m.	Exhibitor Presentation: Bloomberg, Thomas Baldinger, Senior Global Data Specialist - BICs and Corby Dear, Open Symbology Manager	Aqualea Grand Ballroom
2:55 p.m. – 3:25 p.m.	Visit / Refreshment with Exhibitors	Aqualea Ballroom Foyer
3:25 p.m. – 4:25 p.m.	Round Table Discussion – Challenges and Best Practices <ul style="list-style-type: none"> ✓ DRS non - automated process ✓ Artificial Intelligence ✓ Information Risk & Cyber Security ✓ Corporate Actions ✓ FED ACAP 	Aqualea Grand Ballroom
5:00 p.m. – 6:00 p.m.	Exhibitor Raffle	Aqualea Ballroom Foyer



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Wednesday, September 25		
8:30 a.m. – 9:30 a.m.	Breakfast with DTCC RM Staff from the Tampa Office	Belleair
9:30 a.m. – 10:20 a.m.	BDUG Town Hall	Aqualea Grand Ballroom
10:20 a.m. – 10:50 a.m.	Conference Recap, Door Prizes & Adjournment	Aqualea Grand Ballroom